

**Standard 1: Regulatory Foundation**  
**Program Self-Assessment and Verification Audit Form**  
**(January 2013)**

**PROGRAM SELF-ASSESSMENT SUMMARY**

<b>Printed Name of the Person who conducted the Self-Assessment:</b>	Ginger Wood
<b>Self-Assessor's Title:</b>	Director
<b>Jurisdiction Name</b>	Northeast Texas Public Health District
<b>Jurisdiction Address:</b>	815 N. Broadway Ave
<b>Phone / Fax / E-mail:</b>	903-535-0037 / 903-592-0413 / gwood@netphd.org
<b>Date the Standard 1 Self-Assessment was Completed:</b>	7/31/2016
<b>Self-Assessment indicates that the Jurisdiction MEETS the Standard 1 criteria:</b>	YES
<i>I affirm that the information represented in the Self-Assessment of Standard 1 is true and correct</i>	
<b>Signature of the Self-Assessor:</b>	Ginger Wood

**VERIFICATION AUDIT SUMMARY**

<b>Printed Name of the Person who conducted the Verification Audit:</b>	
<b>Verification Auditor's Title:</b>	
<b>Auditor's Jurisdiction Name:</b>	
<b>Auditor's Jurisdiction Address:</b>	
<b>Phone / Fax / E-mail:</b>	
<b>Date the Verification Audit of Standard 1 was Completed:</b>	
<b>Verification Audit indicates that the Jurisdiction MEETS the Standard 1 criteria:</b>	
<i>I affirm that the information represented in the Verification Audit of Standard 1 is true and correct</i>	
<b>Signature of the Verification Auditor:</b>	

<b>Standard 1 Criteria</b>		<b>Jurisdiction's Self Assessment</b>		<b>Auditor's Verification</b>	
		<b>YES / NO</b>	<b>Self-Assessor's General Comments</b>	<b>YES / NO</b>	<b>If NO, Auditor is to specify why criterion is not met</b>
<b>1. Assessment of the Program's Regulatory Foundation</b>					
✓	a. The jurisdiction has documentation that it has performed a side-by-side comparison of its prevailing statutes, regulations, rules and other pertinent requirements against the current published edition of the FDA Food Code or one of the two most recent previous editions of the FDA Food Code.	<b>YES</b>	NETPHD adopted TX Food Establishment Rules TFER 2015 in January 2016, based on FDA Food Code 2013. This was updated in grant year one. Employees have attended		
✓	b. The jurisdiction's side-by-side comparison includes an assessment of major Food Code Interventions and Risk Factors, Good Retail Practices, and Compliance/Enforcement Administrative requirements.	<b>YES</b>	side by side comparison passes		
✓	c. The regulatory foundation assessment clearly identifies the jurisdictions corresponding requirement to the applicable Code Section. The assessment provides a determination as to whether a specific provision in the jurisdiction's regulation meets the intent of the corresponding FDA Food Code Section.	<b>YES</b>	side by side comparison passes		
<b>2. Food Code Interventions and Risk Factors</b>					
✓	a. The jurisdiction's initial Food Code assessment indicates that the agency's regulatory requirements contain at least 9 of the 11 FDA Food Code intervention and risk factor controls. By the third verification audit the jurisdiction's assessment indicated that the agency's regulatory requirement contain all 11 of the Food Code invention and risk factor controls. Documentation from: Part I – Self Assessment Worksheet Part I – Verification Audit Worksheet	<b>YES</b>	side by side comparison passes		
✓	b. The jurisdiction's Food Code assessment indicates that the agency has a corresponding requirement for ALL FDA Food Code provisions related to the interventions and risk factor controls. NOTE: Auditor's random selection of Food Code Intervention and Risk Factor Control Sections confirms the jurisdiction's assessment that a corresponding requirement is contained in the agency's rules, regulations, ordinances, code, or statutes.	<b>YES</b>	side by side comparison passes		
<b>3. Good Retail Practices</b>					

✓	<p>a. The jurisdiction's initial Food Code assessment indicates that regulatory requirements contain at least 95 percent of the FDA Food Code Good Retail Practices Sections. NOTE: Auditor's random selection of Good Retail Practices Code Sections confirms the jurisdiction's assessment that a corresponding requirement is contained in the agency's code or statutes. Documentation from: Part II – Self-Assessment Worksheet Part II – Verification Audit Worksheet</p>	YES	side by side comparison passes		
<b>4. Compliance and Enforcement</b>					
✓	<p>a. The jurisdiction's initial Food Code assessment indicates that regulatory requirements contain ALL the FDA Food Code Compliance and Enforcement Sections identified in the Standard. NOTE: Auditor's random selection of Compliance and Enforcement Code Sections confirms the jurisdiction's assessment that a corresponding requirement is contained in the agency's code or statutes. Documentation from: Part III – Self Assessment Worksheet Part III – Verification Audit Worksheet</p>	YES	side by side comparison passes		
<b>General notes Pertaining to the Program Self-Assessment or the Verification Audit</b>					
	This Standard was sent for Audit in March 2017				